

ORIGINAL RESEARCH ARTICLE



Associations Between End-Tidal Carbon Dioxide During Pediatric Cardiopulmonary Resuscitation, Cardiopulmonary Resuscitation Quality, and Survival

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BACKGROUND: Supported by laboratory and clinical investigations of adult cardiopulmonary arrest, resuscitation guidelines recommend monitoring end-tidal carbon dioxide (ETCO₂) as an indicator of cardiopulmonary resuscitation (CPR) quality, but they note that “specific values to guide therapy have not been established in children.”

METHODS: This prospective observational cohort study was a National Heart, Lung, and Blood Institute–funded ancillary study of children in the ICU-RESUS trial (Intensive Care Unit-Resuscitation Project; NCT02837497). Hospitalized children (≤18 years of age and ≥37 weeks postgestational age) who received chest compressions of any duration for cardiopulmonary arrest, had an endotracheal or tracheostomy tube at the start of CPR, and evaluable intra-arrest ETCO₂ data were included. The primary exposure was event-level average ETCO₂ during the first 10 minutes of CPR (dichotomized as ≥20 mmHg versus <20 mmHg on the basis of adult literature). The primary outcome was survival to hospital discharge. Secondary outcomes were sustained return of spontaneous circulation, survival to discharge with favorable neurological outcome, and new morbidity among survivors. Poisson regression measured associations between ETCO₂ and outcomes as well as the association between ETCO₂ and other CPR characteristics: (1) invasively measured systolic and diastolic blood pressures, and (2) CPR quality and chest compression mechanics metrics (ie, time to CPR start; chest compression rate, depth, and fraction; ventilation rate).

RESULTS: Among 234 included patients, 133 (57%) had an event-level average ETCO₂ ≥20 mmHg. After controlling for a priori covariates, average ETCO₂ ≥20 mmHg was associated with a higher incidence of survival to hospital discharge (86/133 [65%] versus 48/101 [48%]; adjusted relative risk, 1.33 [95% CI, 1.04–1.69]; *P*=0.023) and return of spontaneous circulation (95/133 [71%] versus 59/101 [58%]; adjusted relative risk, 1.22 [95% CI, 1.00–1.49]; *P*=0.046) compared with lower values. ETCO₂ ≥20 mmHg was not associated with survival with favorable neurological outcome or new morbidity among survivors. Average ETCO₂ ≥20 mmHg was associated with higher systolic and diastolic blood pressures during CPR, lower CPR ventilation rates, and briefer pre-CPR arrest durations compared with lower values. Chest compression rate, depth, and fraction did not differ between ETCO₂ groups.

CONCLUSIONS: In this multicenter study of children with in-hospital cardiopulmonary arrest, ETCO₂ ≥20 mmHg was associated with better outcomes and higher intra-arrest blood pressures, but not with chest compression quality metrics.

Key Words: carbon dioxide ■ cardiopulmonary resuscitation ■ heart arrest ■ intensive care units, pediatric

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Clinical Perspective

What Is New?

- This prospective, multicenter study of children receiving cardiopulmonary resuscitation in intensive care units is the first clinical study in children to demonstrate an association between end-tidal carbon dioxide during cardiopulmonary resuscitation and survival to hospital discharge.
- An average end-tidal carbon dioxide of at least 20 mmHg was associated with attaining the return of spontaneous circulation and surviving to discharge.

What Are the Clinical Implications?

- These data offer support for cardiopulmonary resuscitation guideline recommendations to monitor end-tidal carbon dioxide as a gauge of pediatric cardiopulmonary resuscitation quality and offer a threshold of 20 mmHg for which clinicians can potentially aim.

Nonstandard Abbreviations and Acronyms

CPR	cardiopulmonary resuscitation
CPR-NOVA	Validation of Physiologic CPR Quality Using Non-invasive Waveform Analytics study
E-CPR	extracorporeal cardiopulmonary resuscitation
ETCO₂	end-tidal carbon dioxide
ICU	intensive care unit
ICU-RESUS	The ICU-Resuscitation Project clinical trial
PRISM	Pediatric Risk of Mortality Score
ROSC	return of spontaneous circulation
SBP	systolic blood pressure
VIS	vasoactive-inotrope score

Cardiopulmonary resuscitation (CPR) quality is a known determinant of survival from cardiopulmonary arrest.¹ Preclinical laboratory studies and clinical investigations of adult cardiopulmonary arrest have highlighted quantitative capnography (ie, end-tidal carbon dioxide [ETCO₂]) as a physiological monitor that can be used to assess and titrate CPR technique.^{2–6} Supported by these data, a 2013 American Heart Association Consensus Statement on CPR Quality endorsed targeting an ETCO₂ ≥20 mmHg during CPR to improve outcomes.¹ Subsequent iterations of pediatric and adult resuscitation guidelines recommended using ETCO₂ as an indicator of CPR quality, but pediatric resuscitation guidelines note that “specific values to guide therapy have not been established in children.”^{7,8}

As more than 70% of children with in-hospital cardiopulmonary arrests have advanced airways in place that can facilitate use of ETCO₂ as a CPR monitor,^{9,10} establishing evidence-based ETCO₂ targets is a practical means of broadly improving resuscitation quality and outcomes. Although results from a previous single-center pediatric study found that in unadjusted analyses ETCO₂ values were higher among patients who achieved return of spontaneous circulation (ROSC), results from another small multicenter study found no association between ETCO₂ and outcomes.^{11,12} Therefore, in the decade since the 2013 Consensus Statement, minimal scientific progress has been made in this area.

To fill this knowledge gap, the Eunice Kennedy Shriver National Institute of Child Health and Human Development–funded Collaborative Pediatric Critical Care Research Network and the National Heart, Lung, and Blood Institute ICU-RESUS trial (ICU-Resuscitation Project; NCT02837497) investigators prospectively designed an observational cohort study to evaluate the association between ETCO₂ during pediatric CPR and: (1) survival outcomes, (2) intra-arrest blood pressures, and (3) CPR mechanics and other CPR quality markers. For our primary analyses, we hypothesized that an event-level average ETCO₂ ≥20 mmHg during pediatric inpatient CPR would be associated with a higher incidence of survival to hospital discharge, higher intra-arrest blood pressures, and superior CPR mechanics and quality characteristics.

METHODS

Setting, Design, and Oversight

This prospective observational cohort study was a National Heart, Lung, and Blood Institute–funded ancillary study of the ICU-RESUS trial, entitled CPR-NOVA, (Validation of Physiologic CPR Quality Using Non-invasive Waveform Analytics). The parent study was a hybrid stepped-wedge cluster randomized trial evaluating a CPR quality improvement bundle comprising physiologically focused point-of-care CPR trainings and postarrest debriefings.¹³ It was conducted across 10 clinical sites in the United States (18 distinct intensive care units [ICUs]). The institutional review boards of each site and of the University of Utah data coordinating center approved both the parent study and this ancillary investigation with a waiver of informed consent. The data that support the findings of this study are available from the corresponding author on reasonable request.

Subject Population

For the ICU-RESUS parent study, pediatric subjects (≤18 years of age and ≥37 weeks postgestational age) with cardiopulmonary arrest requiring chest compressions of any duration in any of the participating ICUs were included. Subjects were excluded if, before the arrest, they: (1) had a terminal illness and were not expected to survive to discharge, (2) had a documented lack of commitment to aggressive ICU therapies, (3) had a determination of brain dead, or (4) had an out-of-hospital cardiopulmonary arrest associated with the current

hospitalization.¹³ For this ancillary investigation, subjects were required to have an invasive airway (ie, endotracheal tube or tracheostomy tube) in place at the onset of CPR, regardless of whether they were mechanically ventilated at the time. Subjects were excluded if they did not have at least one evaluable 30-s epoch of ETCO₂ data.

Because the ICU-RESUS parent study and intervention focused on intra-arrest invasive arterial blood pressure waveform data, quantitative capnography waveforms were not collected on all patients with endotracheal or tracheostomy tubes initially (October 1, 2016, through May 5, 2019). Prospective collection of quantitative capnography on all patients with endotracheal or tracheostomy tubes commenced with the start of this CPR-NOVA ancillary investigation (May 6, 2019, through March 31, 2021). Before CPR-NOVA, a subsample of subjects had both invasive arterial line waveform data and ETCO₂ waveforms collected and submitted (ie, research coordinators transmitted both waveforms despite ICU-RESUS only requiring arterial line data). Both cohorts are included in these analyses.

Data Collection

The data collection and physiological waveform analysis methods of the parent trial, and subsequent secondary studies, have been previously published.^{13–15} In brief, trained research coordinators collected standardized cardiopulmonary arrest data elements,¹⁶ CPR quality mechanics data (eg, compression depth and rate), and physiological bedside monitor data (eg, electrocardiogram, invasive arterial line, and quantitative capnography) for index CPR events (ie, the first event associated with the hospitalization). Baseline Pediatric Cerebral Performance Category^{17,18} and Functional Status Scale¹⁹ scores were based on the patient's preadmission baseline and calculated on the basis of documentation review. For patients born during the current hospitalization or who had been hospitalized >90 days at the time of the arrest, baseline Pediatric Cerebral Performance Category and Functional Status Scale scores were determined on the basis of the patient's status before the decompensation associated with the cardiopulmonary arrest. Abstraction of prearrest diagnoses (eg, sepsis or pulmonary hypertension) was largely based on documentation of these diagnoses in the medical record. Explicitly defined diagnoses included respiratory insufficiency (presence of hypoxia or hypercarbia or requirement for invasive or noninvasive mechanical ventilation) and hypotension (systolic blood pressure [SBP] or diastolic blood pressure [DBP] <5th percentile for age or requirement for vasopressor/inotropic support [excluding dopamine ≤ 3 $\mu\text{g}\cdot\text{kg}^{-1}\cdot\text{min}^{-1}$] after intravascular volume expansion). The cause of arrest (hypotension, respiratory decompensation, arrhythmia, or cyanosis without respiratory decompensation) was based on documentation in the medical record rather than specific criteria with consultation with site principal investigators as needed. The Pediatric Risk of Mortality (PRISM-IV)²⁰ score was calculated on the basis of data collected between 2 and 6 hours before arrest, and the Vasoactive-Inotrope Score (VIS)²¹ was calculated at 2 hours before arrest and 6 hours after arrest.

Physiological waveforms were deidentified locally and then transmitted to the University of Utah data coordinating center for subsequent download and analysis by investigators at the Children's Hospital of Philadelphia. There, investigators reviewed each waveform for critical features such as: (1) the start of CPR, (2) interruptions in CPR, (3) periods of

nonanalyzable data, (4) periods of nonsustained ROSC, and (5) the end of the event. Custom MATLAB code (The MathWorks, Inc., Natick, MA) was used to determine SBP and DBP values. To ensure accurate determination of ETCO₂ values and ventilation rates, capnographic waveforms were manually reviewed by investigators blinded to outcomes and other patient characteristics (R.W.M., K.G., and R.M.S.). The peak of each capnographic waveform determined ETCO₂ values, which were averaged over the course of 30-s data epochs.

Up to the first 10 minutes of hemodynamic and ETCO₂ values during CPR were collected for each patient (up to twenty 30-s epochs). Epochs were considered evaluable if they had at least 7.5 s of CPR data, excluding periods of nonanalyzable data or intermittent ROSC. The "event-level" average of each physiological variable was the average of all evaluable epoch-level averages for a given patient.

Exposures, Outcomes, and Statistical Analyses

The primary exposure was whether the event-level average ETCO₂ was ≥ 20 mmHg during the first 10 minutes of CPR. A secondary exposure was the percentage of 30-s epochs with average ETCO₂ ≥ 20 mmHg. The primary outcome was survival to hospital discharge. Using observed parent trial screening and enrollment, we anticipated 280 evaluable events during the planning of this ancillary trial. On the basis of a power analysis using a *t* test (parallel 2-group design) of proportions with a 2-sided alternative and type I error rate of 0.05, we expected >80% power to detect an absolute difference in survival to hospital discharge of 17% (33%–50%) if the ETCO₂ was ≥ 20 mmHg in 40% to 60% of patients. These estimates were informed by previous network publications.^{10,12,22}

Secondary outcomes included ROSC, survival to discharge with favorable neurological outcome (Pediatric Cerebral Performance Category score of 1 to 3 or unchanged from baseline) and new morbidity among survivors (Functional Status Scale score change ≥ 3 from baseline).^{17,19} Patients achieving return of circulation through venoarterial extracorporeal membrane oxygen support (extracorporeal CPR [E-CPR]), were not classified as having ROSC. Intra-arrest physiological variables included: (1) SBP and DBP (mmHg), (2) achievement of target SBP (≥ 60 mmHg for <1 year of age or ≥ 80 mmHg for older children),¹³ and (3) achievement of target DBP (≥ 25 mmHg for <1 year of age or ≥ 30 mmHg for older children).^{10,13,15} CPR mechanics and quality variables included: (1) chest compression depth (mm), (2) chest compression rate (min^{-1}), (3) chest compression fraction (proportion of cardiopulmonary arrest event during which chest compressions are provided), (4) CPR ventilation rate (min^{-1}), and (5) pre-CPR arrest duration (a marker of low- or no-flow time before the initiation of CPR, defined as the time in seconds that a patient had an indication for CPR). Among patients surviving at least 6 hours after the return of circulation (ROSC or E-CPR), proximate postarrest outcomes included: (1) lactate (mmol/L),²³ (2) pH, (3) electroencephalogram background,²⁴ and (4) VIS.²⁵

Patient and event characteristics as well as outcomes were summarized by ETCO₂ group. In addition, intra-arrest physiological measurements and CPR mechanics and quality measurements, as defined above, were summarized by ETCO₂ group. Summaries reported were counts and percentages for categorical variables and median, first, and third quartiles for continuous variables. Associations of summarized variables with

ETCO₂ group were assessed with Fisher exact test for nominal variables, the Cochran-Armitage trend test for ordinal variables (baseline and discharge Pediatric Cerebral Performance Category scores), and the Wilcoxon rank-sum test for continuous variables.

The association of ETCO₂ group with outcomes was evaluated with a Poisson regression model using generalized estimating equations to obtain robust error estimates. All models controlled for initial CPR rhythm (pulseless versus nonpulseless [ie, bradycardia with poor perfusion]), respiratory decompensation as an immediate cause of arrest, and arrhythmia as an immediate cause of arrest, covariates selected a priori due to their hypothesized association with ETCO₂ group, and survival.

Several exploratory analyses were conducted. First, we sought to evaluate ETCO₂ as a continuous rather than dichotomized exposure. We therefore constructed receiver operating characteristic and spline curves to identify an alternative ETCO₂ target or range. The optimal cut point from the receiver operating characteristic curve was determined by the Euclidean distance without consideration of covariables. To further visualize the relationship between the exposure and outcome, curves were created with natural cubic splines in logistic regression models, controlling for the same covariates as above. Internal knots for the splines were placed at the 10th, 50th, and 90th percentiles of the data. The number of internal knots was chosen to balance model fit and parsimony and was confirmed by assessment of the Bayesian Information Criterion. Second, scatterplots using epoch-level data were created to investigate the relationship of ETCO₂ with: (1) SBP, (2) DBP, and (3) ventilation rate. Tests of association were based on univariable linear regression, with generalized estimating equations used to account for the use of multiple 30-s average values from a given CPR event. Third, to provide a useful bedside marker of probability of resuscitation success (ie, probability of ROSC), the trend in ETCO₂ over the first 10 minutes of CPR was depicted in patients with and without ROSC. The mean values were compared between patients with and without ROSC through mixed-effects linear regression modeling with an exponential temporal covariance structure to account for correlated observations. A random-subject effect was also included to give a lower bound on the correlation between 2 different minutes of CPR for the same subject. Mixed-effects linear regression modeling assessed the difference in slope of change in ETCO₂ between patients with and without ROSC, again using a temporal covariance structure. Finally, we repeated the primary analyses with event-level ETCO₂ <10 mmHg as an exploratory exposure.

Analyses were performed using SAS 9.4 (SAS Institute; Cary, NC). Reported *P* values were based on a 2-sided alternative and considered significant if <0.05.

RESULTS

Among the 1129 children experiencing an index cardiopulmonary arrest event in the parent ICU-RESUS trial, 824 (73%) had invasive mechanical ventilation in place at the start of CPR (532 before CPR-NOVA; 292 during CPR-NOVA; Figure 1). Of these events with invasive mechanical ventilation, a total of 234 (28%) events were included in the final analytic cohort (96/532 [18%] before CPR-NOVA; 138/292 [47%]

during CPR-NOVA). Table S1 compares patient characteristics across these 2 cohorts. It is notable that pre-CPR-NOVA patients more frequently had preexisting cardiac disease (*P*=0.028) and prearrest hypotension (*P*=0.002) and had higher prearrest PRISM scores (*P*=0.018) and VIS (*P*=0.04). However, absolute differences in survival to hospital discharge across ETCO₂ groups (≥20 mmHg versus <20 mmHg) did not differ between enrollment periods (pre-CPR-NOVA enrollment period: 36/56 [64.3%] versus 20/40 [50%]; *P*=0.21; during CPR-NOVA enrollment: 50/77 [64.9%] versus 28/61 [45.9%]; *P*=0.038).

Patient characteristics summarized by ETCO₂ group are presented in Table 1. In terms of preexisting conditions before CPR, subjects with event-level average ETCO₂ ≥20 mmHg more frequently had respiratory insufficiency (*P*=0.004) and less frequently had hypotension (*P*=0.028). Event characteristics by ETCO₂ group are presented in Table 2. Subjects with event-level average ETCO₂ ≥20 mmHg more frequently had arrhythmia as the immediate cause of the cardiopulmonary arrest (*P*=0.034) and less frequently received epinephrine (*P*=0.002), calcium (*P*=0.017), and fluid boluses (*P*=0.046) during CPR. They received E-CPR less frequently than patients with lower ETCO₂ (26/133 [19.5%] versus 32/101 [31.7%]; *P*=0.046). Patient and event characteristics by survival to hospital discharge are presented in Tables S2 and S3, respectively.

Intra-arrest physiological and CPR quality metrics are compared across ETCO₂ groups in Table 3. In events with event-level average ETCO₂ ≥20 mmHg, intra-arrest SBP and DBP were higher than in events with ETCO₂ <20 mmHg (SBP: 90.6 [75.1, 118.7] mmHg versus 70.9 [55.5, 86.4] mmHg, *P*<0.001; DBP: 46.5 [37.9, 57.9] mmHg versus 33.3 [26.8, 42.9] mmHg, *P*<0.001). Events with event-level average ETCO₂ ≥20 mmHg were more likely to achieve a priori SBP targets (66/80 [82.5%] versus 48/75 [65.8%]; *P*=0.025) and DBP targets (76/80 [95.0%] versus 61/75 [81.3%]; *P*=0.011) compared with events with lower ETCO₂ values.

Chest compression rate, depth, and fraction did not differ significantly between ETCO₂ groups (Table 3). In events with event-level average ETCO₂ ≥20 mmHg, pre-CPR arrest durations were shorter (22.8 [0.5, 57.8] s versus 52.9 [15.5, 84.1] s; *P*=0.006), and CPR ventilation rates were lower (26.7 [20.0, 35.2] min⁻¹ versus 29.5 [24.1, 40.1] min⁻¹; *P*=0.015) compared with events with lower ETCO₂ values.

Overall, the average ETCO₂ across all events was 23.5±11.94 mmHg; 133 of 234 (56.8%) patients had an event-level average ETCO₂ ≥20 mmHg. More patients survived to hospital discharge when event-level average ETCO₂ was ≥20 mmHg compared with lower ETCO₂ values (86/133 [64.7%] versus 48/101 [47.5%]; *P*=0.011). Multivariable outcome analyses are presented in Table 4. After adjusting for confounders, an

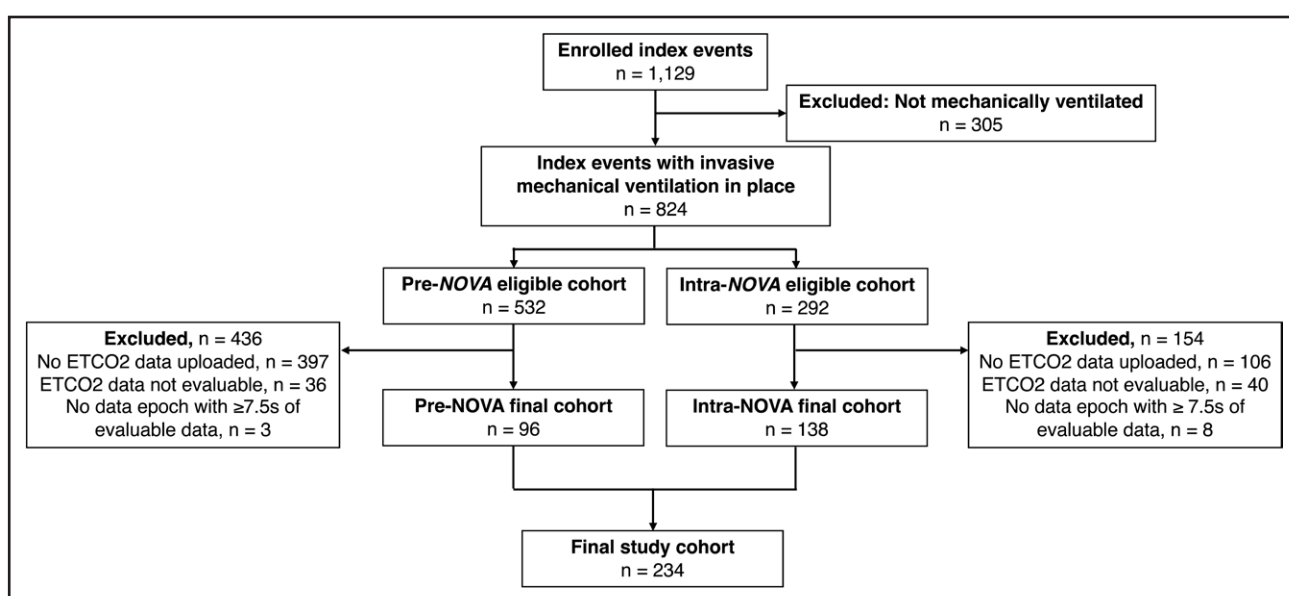


Figure 1. Patient flow diagram.

Pre-NOVA refers to patients enrolled in the ICU-RESUS parent study before prospective collection of ETCO₂ waveform data for the CPR-NOVA study (October 1, 2016, through May 5, 2019). Intra-NOVA refers to patients enrolled after the start of the CPR-NOVA ancillary investigation (May 6, 2019, through March 31, 2021). ETCO₂ indicates end-tidal carbon dioxide.

ETCO₂ ≥20 mmHg remained associated with higher relative risk of survival to hospital discharge (adjusted relative risk, 1.33 [95% CI, 1.04–1.69]; *P*=0.023) and ROSC (95/133 [71.4%] versus 59/101 [58.4%]; adjusted relative risk, 1.22 [95% CI, 1.00–1.49]; *P*=0.046). The percentage of 30-s epochs achieving ETCO₂ ≥20 mmHg was also associated with higher relative risk of survival to hospital discharge (adjusted relative risk, 1.03 per 10% increase in epochs achieving target [95% CI, 1.00–1.06]; *P*=0.034) and ROSC (adjusted relative risk, 1.03 per 10% increase in epochs achieving target [95% CI, 1.01–1.06]; *P*=0.006).

Exploratory Analyses

Figure 2 depicts the receiver operating characteristic curve and spline analyses of the relationship between ETCO₂ and survival to hospital discharge. Analogous curves for ROSC are presented in Figure S1. The receiver operating characteristic analyses identified an optimal cut point for survival to hospital discharge of 19.64 mmHg (sensitivity 0.67, specificity 0.53; area under the receiver operating characteristic curve, 0.57 [95% CI, 0.5–0.65]). The optimal cut point for ROSC was 19.07 mmHg (sensitivity 0.69, specificity 0.53; area under the receiver operating characteristic curve, 0.62 [95% CI, 0.55–0.7]). On the basis of visual inspection of the spline curves and the close proximity of both cut points to the prespecified ETCO₂ threshold of 20 mmHg, alternative targets were not evaluated further.

The analyses using univariable linear regression to further evaluate the relationships between ETCO₂ and SBP, DBP, and CPR ventilation rate are presented in

Figure S2A (SBP) and S2B (DBP) and Figure S3, respectively. ETCO₂ was positively correlated with SBP (*P*<0.0001) and DBP (*P*<0.001) and inversely correlated with ventilation rate (*P*=0.04).

The trend in ETCO₂ during the first 10 minutes of CPR is graphically represented in Figure 3. There was a significant difference in both the mean ETCO₂ (*P*<0.001) and the slope of ETCO₂ change over time (effect size estimate, 0.74 [95% CI, 0.10–1.38] mmHg/min; *P*=0.023) among patients achieving ROSC versus those who did not achieve ROSC (*P*=0.011).

Tertiary postarrest outcomes are presented in Table S4. Highest recorded lactate values in the first 6 hours after arrest were significantly lower (5.7 [2.4, 11.6] mmol/L versus 7.5 [4.7, 14.8] mmol/L; *P*=0.01) in the ETCO₂ ≥20 mmHg group compared with the lower ETCO₂ group. There were no differences in lowest pH, electroencephalogram background patterns, or VIS.

Finally, an event-level average ETCO₂ <10 mmHg was not associated with survival to hospital discharge or other outcomes (Table 4). It is notable that among 21 of the patients with event-level ETCO₂ <10 mmHg, 20 (95%) achieved return of circulation (ROSC, 7; E-CPR, 13), and 10 (48%) survived to hospital discharge. Of the 21 patients with an event-level ETCO₂ <10 mmHg, 12 (57%) had congenital heart disease, and 6 (29%) had shunt-dependent or passive pulmonary blood flow.

DISCUSSION

In this multicenter cohort study of pediatric patients with in-hospital cardiopulmonary arrest who had an invasive

Table 1. Patient Characteristics

Characteristics	ETCO ₂ ≥20 mm Hg (n=133)	ETCO ₂ <20 mm Hg (n=101)	P value
Demographics			
Age, n (%)			0.125
≤1 y	75 (56.4)	67 (66.3)	
1 to <8 y	34 (25.6)	15 (14.9)	
8 to <19 y	24 (18.0)	19 (18.8)	
Male	69 (51.9)	48 (47.5)	0.598
Preexisting conditions, n (%)			
Respiratory insufficiency	124 (93.2)	81 (80.2)	0.004
Hypotension	87 (65.4)	80 (79.2)	0.028
Heart failure	19 (14.3)	15 (14.9)	1.000
Pneumonia	17 (12.8)	6 (5.9)	0.119
Sepsis	25 (18.8)	12 (11.9)	0.205
Trauma	4 (3.0)	1 (1.0)	0.393
Kidney disease or injury	22 (16.5)	16 (15.8)	1.000
Malignancy	6 (4.5)	3 (3.0)	0.735
Pulmonary hypertension	26 (19.5)	18 (17.8)	0.866
Congenital heart disease	76 (57.1)	75 (74.3)	0.009
Pre-event characteristics			
Illness category, n (%)			0.083
Medical cardiac	33 (24.8)	32 (31.7)	
Medical noncardiac	44 (33.1)	20 (19.8)	
Surgical cardiac	45 (33.8)	45 (44.6)	
Surgical noncardiac	7 (5.3)	3 (3.0)	
Trauma	4 (3.0)	1 (1.0)	
PRISM*	7 [2, 12]	7 [3, 12]	0.679
Vasoactive-inotrope score†	1.5 [0, 8.0]	2.5 [0, 10.0]	0.246
Baseline Pediatric Cerebral Performance Category score,‡ n (%)			
1 - Normal	73 (54.9)	68 (67.3)	
2 - Mild disability	29 (21.8)	9 (8.9)	
3 - Moderate disability	14 (10.5)	14 (13.9)	
4 - Severe disability	14 (10.5)	10 (9.9)	
5 - Coma/vegetative state	3 (2.3)	0 (0.0)	
Baseline Functional Status Scale‡	6.0 [6.0, 10.0]	6.0 [6.0, 9.0]	0.092

Comparison of patient characteristics between patients with average event-level ETCO₂ ≥20 mmHg vs those with lower ETCO₂ values. Analyses performed with Fisher exact test, Wilcoxon rank-sum test, or Cochran-Armitage trend test. ETCO₂ indicates end-tidal carbon dioxide; and PRISM, Pediatric Risk of Mortality score.

*PRISM was evaluated 2 to 6 hours before the event.

†Vasoactive inotrope score was evaluated 2 hours before the event and calculated from the following equation: dopamine dose (μg·kg⁻¹·min⁻¹)+dobutamine dose (μg·kg⁻¹·min⁻¹)+nitroprusside dose (μg·kg⁻¹·min⁻¹)+ (10×milrinone dose [μg·kg⁻¹·min⁻¹])+(100×epinephrine dose [μg·kg⁻¹·min⁻¹])+(100×norepinephrine dose [μg·kg⁻¹·min⁻¹])+(100 phenylephrine dose [μg·kg⁻¹·min⁻¹])+vasopressin dose (munits·kg⁻¹·h⁻¹).

‡Baseline Pediatric Cerebral Performance Category and Functional Status Scale represent subject status before the event leading to hospitalization.

airway in place at the start of CPR, an average ETCO₂ ≥20 mmHg during the first 10 minutes of CPR was associated with a higher incidence and adjusted relative risk of both survival to hospital discharge and ROSC. To our knowledge, this is the first multicenter pediatric clinical study providing evidence to support monitoring ETCO₂ during CPR or provide an ETCO₂ target for pediatric CPR. Events with an ETCO₂ ≥20 mmHg during

CPR were also more likely to achieve invasive arterial BP targets previously associated with a higher incidence of neurologically favorable survival from pediatric cardiopulmonary arrest,^{10,15} providing important physiological mechanistic data to support our primary findings.

There is a substantial body of preclinical laboratory data establishing that ETCO₂ is highly correlated with pulmonary blood flow and cardiac output during CPR,^{26–29}

Table 2. Cardiopulmonary Arrest Event Characteristics

Characteristics	ETCO ₂ ≥20 mm Hg (n=133)	ETCO ₂ <20 mm Hg (n=101)	P value
Interventions in place before event,* n (%)			
Central venous catheter	103 (77.4)	76 (75.2)	0.756
Vasoactive infusion	80 (60.2)	71 (70.3)	0.129
Invasive mechanical ventilation	123 (92.5)	89 (88.1)	0.268
Noninvasive ventilation	6 (4.5)	10 (9.9)	0.122
Immediate cause(s) of event, n (%)			
Arrhythmia	24 (18.0)	8 (7.9)	0.034
Cyanosis without respiratory decompensation	6 (4.5)	3 (3.0)	0.735
Hypotension	76 (57.1)	70 (69.3)	0.076
Respiratory decompensation	60 (45.1)	38 (37.6)	0.285
Duration of CPR, min	7.0 [3, 22]	11.0 [4, 36]	0.052
CPR timing,† n (%)			
Weekday	80 (60.2)	57 (56.4)	
Weeknight	21 (15.8)	22 (21.8)	
Weekend	32 (24.1)	22 (21.8)	
Medications during CPR			
Epinephrine, n (%)	104 (78.2)	94 (93.1)	0.002
Number of doses	3 [1, 5]	3.0 [1, 6]	0.549
Average interval between doses‡	5.0 [4.0, 7.3]	5.0 [3.3, 8.0]	0.575
Time to first dose (minutes)	1.0 [0, 2.0]	1.0 [0, 2.0]	0.423
Atropine, n (%)	12 (9.0)	4 (4.0)	0.191
Calcium, n (%)	52 (39.1)	56 (55.4)	0.017
Sodium bicarbonate, n (%)	65 (48.9)	57 (56.4)	0.291
Vasopressin, n (%)	6 (4.5)	4 (4.0)	1.000
Amiodarone, n (%)	4 (3.0)	4 (4.0)	0.729
Lidocaine, n (%)	4 (3.0)	2 (2.0)	0.701
Fluid bolus, n (%)	26 (19.5)	32 (31.7)	0.046
Extracorporeal CPR,§ n (%)	26 (19.5)	32 (31.7)	0.046

Comparison of cardiopulmonary arrest event characteristics between patients with an average event-level ETCO₂ ≥20 mm Hg vs those with lower ETCO₂ values. Analyses performed with Fisher exact test or Wilcoxon rank-sum test. CPR indicates cardiopulmonary resuscitation; and ETCO₂, end-tidal carbon dioxide.

*Documented interventions in the prearrest period. Patients who were intubated in the periarrest period and had an endotracheal tube in place at the onset of CPR could appear in the "noninvasive ventilation" group, and patients with a tracheostomy without ventilator support until the immediate prearrest and periarrest period could be classified as receiving no prearrest ventilatory support.

†Weekday is between 7 AM and 11 PM Monday through Friday; weeknight, after 11 PM Monday through Thursday; weekend, 11 PM on Friday through 7 AM the following Monday.

‡Total duration of CPR in minutes – time to first epinephrine dose in minutes)/(total number of epinephrine doses – 1)

§Successful cannulation onto extracorporeal membrane oxygenation support as the outcome of the CPR event.

and thus is a potentially effective noninvasive monitor of CPR quality. To that end, adult clinical investigations have established that ETCO₂ values are generally higher among patients who achieve ROSC versus those who do not,^{6,30} that low ETCO₂ is associated with mortality,^{3,4,6,31,32} and that CPR mechanics quality, namely chest compression depth, is correlated with ETCO₂ levels during CPR.³³ Although a single-center pediatric study demonstrated an association between ETCO₂ and ROSC,¹¹ our study provides the first pediatric evidence to identify an association of ETCO₂ with survival and demonstrates several significant findings suggesting that ETCO₂ is associated with cardiac output

during CPR. First, an ETCO₂ ≥20 mmHg was associated with higher intra-arrest blood pressures during CPR, the primary driver of end-organ perfusion. Events with an ETCO₂ ≥20 mmHg were more likely to achieve DBP targets (≥25 mmHg in infants <1 year of age, ≥30 mmHg in older children) that have previously been associated with improved neurological survival after pediatric cardiopulmonary arrest.^{10,15} Second, lactate levels in the immediate postarrest period were lower when target ETCO₂ was achieved, despite similar prearrest PRISM and VIS scores between ETCO₂ groups, suggesting that the higher ETCO₂ values were associated with improved blood flow

Table 3. CPR Physiology and Quality

Measurement	ETCO ₂ ≥20 mm Hg	ETCO ₂ <20 mm Hg	P value
Arterial blood pressure data available, n (%)	80/133 (60.2)	75/101 (74.3)	
CPR mechanics data available, n (%)	40/133 (30.1)	24/101 (23.8)	
Intra-arrest physiology			
Average SBP, mm Hg	90.6 [75.1, 118.7]	70.9 [55.5, 86.4]	<0.001
Average DBP, mm Hg	46.5 [37.9, 57.9]	33.3 [26.8, 42.9]	<0.001
Target SBP* n (%)	66/80 (82.5)	48/73 (65.8)	0.025
Target DBP,† n (%)	76/80 (95.0)	61/75 (81.3)	0.011
CPR mechanics/quality			
Average CC rate, min ⁻¹ ‡	117.7 [108.8, 125.5] n=96	117.9 [109.5, 125.1] n=85	0.899
Average chest compression fraction‡	0.97 [0.93, 1.00] n=96	0.96 [0.91, 0.99] n=85	0.091
Average depth, mm	34.26 [24.75, 45.21] n=38	32.10 [21.82, 53.70] n=24	0.960
Pre-CPR arrest duration, s§	22.75 [0.45, 57.80] n=80	52.90 [15.50, 84.10] n=75	0.006
Average ventilation rate, breaths/min	26.65 [19.96, 35.22] n=133	29.49 [24.11, 40.06] n=101	0.015

Comparison of intra-arrest CPR physiology and quality measurements between patients with an average event-level ETCO₂ ≥20 mmHg vs those with lower ETCO₂ values. Unless otherwise noted, values represent event-level averages during the first 10 minutes of CPR. Analyses performed with Fisher exact test or Wilcoxon rank-sum test. CC indicates chest compression; CPR, cardiopulmonary resuscitation; DBP, diastolic blood pressure; ETCO₂, end-tidal carbon dioxide; and SBP, systolic blood pressure.

*Average DBP ≥25 mmHg for patients <1 year of age or ≥30 mmHg for patients ≥1 year of age.

†Average SBP ≥60 mmHg for subjects <1 year of age or ≥80 mmHg for subjects ≥1 year of age.

‡Calculated on the basis of either arterial blood pressure waveform (prioritized when both available) or CPR quality-monitoring defibrillator.

§Pre-CPR arrest time: Duration (seconds) that a patient has a pulse pressure <10 mmHg and a low SBP (<40 mmHg in infants <1 year of age or <50 mmHg in older children).

during CPR, and not simply a marker of differential pre-arrest illness. Finally, target ETCO₂ values were associated with shorter pre-CPR arrest times, potentially suggesting that faster recognition of cardiopulmonary arrest (ie, briefer periods of “no-flow”) allow for superior blood flow

during CPR. However, in contrast to adult and preclinical studies,^{33–35} chest compression characteristics, including rate, depth, and fraction, did not differ between patients with ETCO₂ ≥20 mmHg and those with lower ETCO₂. Thus, the interventions that resuscitation teams should

Table 4. Patient and Event Outcomes.

Outcomes	Event average ETCO ₂ ≥20 mm Hg		% CPR epochs ETCO ₂ ≥20 mm Hg		Event average ETCO ₂ <10 mm Hg	
	RR (95% CI)*	P value	RR (95% CI)*†	P value	RR (95% CI)*	P value
Primary outcome						
Survival to hospital discharge	1.33 (1.04–1.69)	0.023	1.03 (1.00–1.06)	0.034	0.98 (0.59–1.62)	0.927
Secondary outcomes						
Sustained return of spontaneous circulation‡	1.22 (1.00–1.49)	0.046	1.03 (1.01–1.06)	0.006	0.69 (0.39–1.24)	0.213
Survival with favorable neurological outcome§	1.23 (0.94–1.59)	0.128	1.02 (0.99–1.05)	0.180	0.92 (0.50–1.69)	0.788
New morbidity	1.09 (0.62–1.89)	0.772	1.01 (0.95–1.08)	0.690	0.91 (0.27–3.09)	0.877

Association of intra-arrest ETCO₂ classification with outcomes. CPR indicates cardiopulmonary resuscitation; ETCO₂, end-tidal carbon dioxide; and RR, relative risk.

*Adjusted relative risk is based on Poisson regression with robust error estimates, controlling for initial rhythm (pulseless vs nonpulseless), respiratory decompensation as an immediate cause of arrest, and arrhythmia as an immediate cause of arrest for a priori targets during the first 10 minutes of resuscitation.

†RR is for an increase of 10 in percentage of CPR epochs achieving target ETCO₂.

‡Return of spontaneous circulation ≥20 minutes in duration, as defined by Utstein CPR reporting guidelines.

§Survival with favorable neurological outcome is defined as a Pediatric Cerebral Performance Category score of 1 to 3 or no worse than baseline.

||New morbidity is an increase in Functional Status Scale score ≥3.

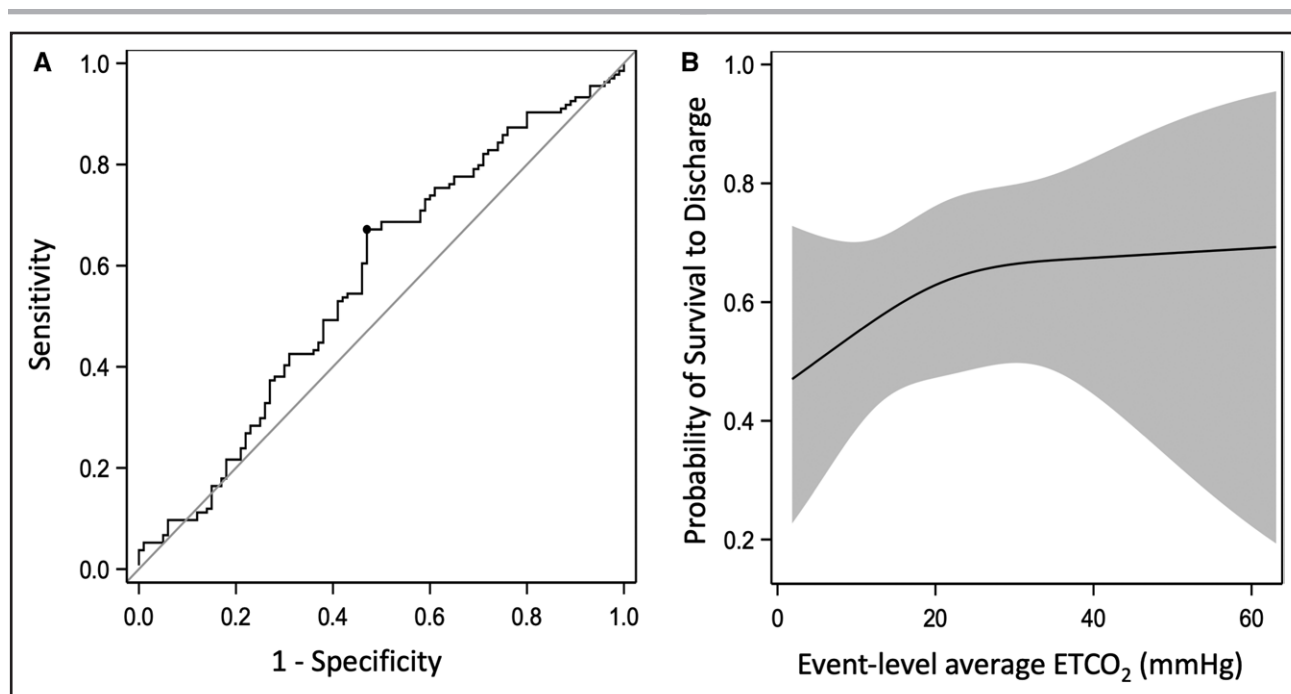


Figure 2. Relationship between ETCO₂ and survival to hospital discharge.

A, Receiver operating characteristic curve. **B**, Probability curves. Receiver operating characteristic curve: optimal cut point: ETCO₂ 19.6 mmHg (sensitivity, 0.67; specificity, 0.53; area under the receiver operating characteristic curve, 0.571 [95% CI, 0.496–0.647]). Probability curves were created with logistic regression and natural cubic splines, controlling for initial rhythm (pulseless vs nonpulseless), and for respiratory decompensation and arrhythmia as the immediate causes of arrest. ETCO₂ indicates end-tidal carbon dioxide.

consider in response to a low ETCO₂ require independent investigation.

In an attempt to provide an additional clinically useful metric for bedside providers to follow, we investigated whether there was prognostic value in the change in ETCO₂ values over time. Clinicians may appreciate temporal changes in ETCO₂ more intuitively than the “average ETCO₂” during CPR. In this study, we found that the change in ETCO₂ during the first minutes of resuscitation is highly associated with the probability of resuscitation success (ie, attaining ROSC). This may support the utility of using ETCO₂ to inform treatment decisions. For example, failure of a low ETCO₂ to improve in response to resuscitation strategies could potentially trigger rescue strategies such as E-CPR, which was more frequently used in the group with lower ETCO₂ values.

Since the 2013 Consensus on Science Statement on CPR Quality, there has been a growing body of literature supporting the idea that rescuers should monitor and titrate the resuscitation effort according to a patient’s physiological response, with many of these investigations focused on pediatric cardiopulmonary arrest. In large animal translational pediatric models, altering CPR technique (eg, depth and rate of chest compressions) and vasopressor administration according to either invasive arterial blood pressure^{36,37} or ETCO₂^{34,35} is associated with better cardiopulmonary arrest outcomes. And although prospective clinical observational studies have

established arterial DBP targets, similar data establishing the utility of ETCO₂ had been lacking until now. As mentioned, previous work was limited by sample size¹² or reliance on CPR quality monitoring defibrillators that are frequently not in place during the first critical minutes of resuscitation.¹¹ By leveraging the large physiological waveform database of the ICU-RESUS trial, these data have begun to fill an important critical gap in the pediatric resuscitation knowledge base and have the potential to also improve patient-centric resuscitation algorithms.

Finally, the association between CPR ventilation rate and ETCO₂ deserves brief comment. Similar to previous adult and pediatric investigations,^{12,33} we found that ETCO₂ was inversely correlated with CPR ventilation rate (ie, higher ventilation rates presumably led to lower ETCO₂). As excessive ventilation can lead to increased intrathoracic pressure, increased right atrial pressure, decreased venous return, and thereby, reduced cardiac output,^{38,39} it is possible that either improved ventilation with preserved cardiac output or a reduction in cardiac output due to excessive ventilation is responsible for this inverse relationship. In our study, the median ventilation rate in both ETCO₂ groups was within the current recommended range; however, 103 of 234 (44%) events had event-level average ventilation rates exceeding 30 min⁻¹, the upper rate of current American Heart Association guideline recommendations.⁷ In the end, the complicated relationship between ventilation rate and other ventilation parameters and ETCO₂ remains a fertile area for future scientific investigation.

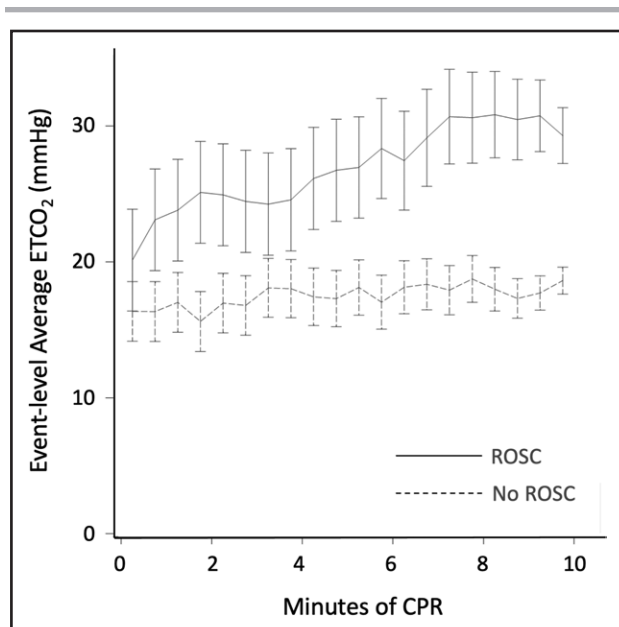


Figure 3. Temporal relationship between ETCO₂ during the first 10 minutes of CPR and return of spontaneous circulation.

ROSC=solid line; No ROSC=dotted line. Comparisons between patients with and without ROSC performed with mixed-effects linear regression model with an exponential temporal covariance structure to account for correlated observations. Average ETCO₂ during the first 10 minutes of CPR was higher in patients with ROSC than in patients without ROSC ($P<0.001$), as determined by the main effect for ROSC in the model. The change in ETCO₂ over time was greater in patients with ROSC than in patients without ROSC (effect size estimate, 0.74 [95% CI, 0.10–1.38] mm Hg; $P=0.023$), as determined by the interaction term between time (minutes) and ROSC. Error bars indicate SEM. CPR indicates cardiopulmonary resuscitation; ETCO₂, end-tidal carbon dioxide; and ROSC, return of spontaneous circulation.

This study has limitations. First, the observational study design precludes our ability to assign causation between higher ETCO₂ levels and improved outcomes. Yet, our findings provide support to suggest a more direct mechanistic relationship between higher ETCO₂ values and outcomes (eg, improved intra-arrest blood pressures and lower lactates after arrest). Second, these data were collected as part of the ICU-RESUS trial, which was conducted in a network of tertiary-care hospitals with a documented interest in CPR quality and physiology.^{10,13} These characteristics, as well as the previously reported high-quality of CPR performed during the parent trial,¹³ must be considered in generalizing our findings across more diverse care environments. Third, all included patients had invasive airways at the start of CPR, and as such, whether our findings can be extrapolated to patients without invasive airways remains an unanswered question. Fourth, we limited our primary evaluation to the first 10 minutes of CPR. We did not investigate the relationship between outcomes and ETCO₂ values after longer periods of CPR. However, we contend that by limiting our evaluation to the first 10 minutes, we are providing data that could lead to treatment adjustments that are more

likely to affect resuscitation outcomes (eg, early activation of E-CPR rescue). Finally, due to known limitations in the study of continuous capnography during CPR (obstruction from tracheal tube secretions and disconnection for various clinical reasons), we report data from 28% of patients who were eligible for inclusion, raising concerns regarding selection bias. Although most of the patients included in this report are from the CPR-NOVA ancillary study, we did include the convenience sample of patients whose ETCO₂ waveforms were transmitted with arterial line waveforms before CPR-NOVA to achieve enrollment goals based on our a priori power calculation. Although there were several important patient characteristics that differed between groups, the absolute difference in survival between ETCO₂ groups was similar across enrollment periods.

Conclusions

In this multicenter study, an ETCO₂ ≥ 20 mmHg during CPR was associated with improved outcomes from pediatric in-hospital cardiopulmonary arrest, providing support for guideline recommendations that advise monitoring ETCO₂ during CPR.

ARTICLE INFORMATION

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Disclosures

None.

Supplemental Material

Tables S1–S4

Figures S1–S3

Appendix: ICU-RESUS Investigators and the Eunice Kennedy Shriver National Institute of Child Health and Human Development Collaborative Pediatric Critical Care Research Network Investigators

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